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Sector #6

Patent  
Attorney's Docket No. 015290-502

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of )  
Helen H. ZHU et al. ) Group Art Unit: 2812  
Application No.: 09/820,694 ) Examiner: Not Yet Assigned  
Filed: March 30, 2001 )  
For: METHOD OF PLASMA ETCHING )  
SILICON NITRIDE )

**TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION**

**BOX: MISSING PART**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R.  
§ 1.53(b) dated August 30, 2001, enclosed please find:

- ☒ a Combined Declaration and Power of Attorney signed by the inventor(s) and the  
surcharge of ☐ \$65.00 (205) ☒ \$130.00 (105) as set forth in 37 C.F.R.  
§ 1.16(e);  
☐ Note that the inventor(s) identified on the currently filed Combined  
Declaration and Power of Attorney are different than listed on the application  
filing papers.  
☐ a Request for Refund;  
☐ a Petition for Extension of Time;  
☐ a verified English translation of the Application, and the \$130.00 (139) fee as set  
forth in 37 C.F.R. § 1.17(k);  
☒ an Assignment document and a separate check for the \$40.00 (581) Assignment  
recordation fee;  
☐ drawings for publication;  
☒ other SUBMISSION OF FORMAL DRAWINGS;  
☐ a check in the amount of \$ \_\_\_\_\_ for the fee due for missing parts; and

Transmittal Letter for Missing Parts of Application

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☒ charge \$ 130.00 to Deposit Account No. 02-4800 for the fee due for missing parts.

☐ Small entity status is hereby claimed.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

By: \_\_\_\_\_

  
Peter K. Skiff

Registration No. 31,917

Date: September 6, 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/820,694	03/30/2001	Helen H. Zhu	015290-502

CONFIRMATION NO. 7374

## FORMALITIES LETTER

\*OC00000006498136\*

Peter K. Skiff  
BURNS, DOANE, SWECKER & MATHIS, L.L.P.  
P.O. Box 1404  
Alexandria, VA 22313-1404

Date Mailed: 08/30/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/07/2001 HROCHA1 00000077 024800 09820694

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*[Handwritten signature]* 12-27-01  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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For: <b>METHOD OF PLASMA ETCHING</b>	)	
<b>SILICON NITRIDE</b>	)	
	)	
	)	

**SUBMISSION OF FORMAL DRAWINGS**

**Box Issue Fee**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**ATTN: OFFICIAL DRAFTSMAN**

Sir: (

Enclosed please find seven sheet(s) of formal drawings for review by the Patent and Trademark Office. Should the enclosed drawings require changes, it is respectfully requested that the Patent and Trademark Office notify the undersigned of same.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: *[Signature: Peter K. Skiff]*  
Peter K. Skiff  
Registration No. 31,917

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Alexandria, Virginia 22313-1404  
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Date: September 6, 2001